

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Kevin M. Christiansen  
APPLICATION NO.: Cont. of 09/972,847  
FILING DATE: HERewith  
TITLE: SYSTEM FOR DATA TRANSFER THROUGH AN I/O DEVICE USING A  
MEMORY ACCESS CONTROLLER WHICH RECEIVES AND STORES  
INDICATION OF A DATA STATUS SIGNAL  
PARENT EXAMINER: Rijue Mai  
PARENT  
GROUP ART UNIT: 2182  
ATTY. DKT. NO.: 18602-08301

MAIL STOP REISSUE  
COMMISSIONER FOR PATENTS  
P. O. BOX 1450  
ALEXANDRIA, VA 22313-1450

EXPRESS MAIL NO. EV301186313US

INFORMATION DISCLOSURE STATEMENT  
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the

mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
  - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
  - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

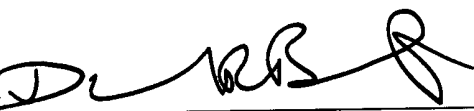
- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☒ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. 09/972,847, filed on October 4, 2001, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d). However, copies of the references are provided herein for the Examiner's convenience.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,

KEVIN M. CHRISTIANSEN

Dated: September 18, 2003

By:   
Daniel R. Brownstone, Reg. No. 46,581  
Attorney for Applicant  
Fenwick & West LLP  
Silicon Valley Center  
801 California Street  
Mountain View, CA 94041  
Tel.: (415) 875-2358  
Fax: (415) 281-1350

EXPRESS MAIL NO. EV301186313US

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>	
				Application No.	Cont. of REI 09/972,847
				Filing Date	HEREWITH
				First Named Inventor	Kevin M. Christiansen
				Art Unit	2182
				Examiner Name	Rijue Mai
Sheet	1	of	1	Attorney Docket Number	18602-08301

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	4,680,755	07-14-1987	Reames
	A2	4,700,358	10-13-1987	Duncanson <i>et al.</i>
	A3	4,757,446	07-12-1988	Trottier <i>et al.</i>
	A4	4,942,515	07-17-1990	Marzucco <i>et al.</i>
	A5	4,989,113	01-29-1991	Hull, Jr. <i>et al.</i>
	A6	5,136,580	08-04-1992	Videlock <i>et al.</i>
	A7	5,247,517	09-21-1993	Ross <i>et al.</i>
	A8	5,247,626	09-21-1993	Firoozmand
	A9	5,361,372	11-01-1994	Rege <i>et al.</i>
	A10	5,379,381	01-03-1995	Lamb
	A11	5,400,326	03-21-1995	Smith
	A12	5,434,872	07-18-1995	Petersen <i>et al.</i>
	A13	5,457,681	10-10-1995	Gaddis <i>et al.</i>
	A14	5,488,724	01-30-1996	Firoozmand
	A15	5,513,320	04-30-1996	Young <i>et al.</i>
	A16	5,528,587	06-18-1996	Galand <i>et al.</i>
	A17	5,537,413	07-16-1996	Yang <i>et al.</i>
	A18	5,568,608	10-22-1996	Shannon
	A19	5,611,046	03-11-1997	Russell <i>et al.</i>
	A20	5,612,742	03-18-1997	Krause <i>et al.</i>
	A21	5,625,842	04-29-1997	Dalrymple
	A22	5,696,989	12-09-1997	Miura <i>et al.</i>
	A23	5,720,770	02-24-1998	Nappholz <i>et al.</i>
	A24	5,732,094	03-24-1998	Petersen <i>et al.</i>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.  
 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

18602/01000/SF/5107172.1